

## PART B - FEE(S) TRANSMITTAL

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 Commissioner for Patents  
 P.O. Box 1450  
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AUG 14 2006

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7590

06/15/2006

Marek Albosztka  
 LUMEN  
 2345 Yale Street

2nd Floor  
 Palo Alto, CA 94306

08/15/2006 SSANDAR1 00000007 10667230

01 FC:1501  
 02 FC:1504  
 03 FC:8001

1400.00 OP  
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|                        |                    |
|------------------------|--------------------|
| <i>Original Cap...</i> | (Depositor's name) |
| <i>Suppl...</i>        | (Signature)        |
| 8.9.06                 | (Date)             |

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/667,230

09/13/2003

Byong-Ho Park

S02-093

7005

TITLE OF INVENTION: TUBULAR COMPLIANT MECHANISMS FOR ULTRASONIC IMAGING SYSTEMS AND INTRAVASCULAR INTERVENTIONAL DEVICES

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|-----------|-----------------|------------------|----------|
|-------------|--------------|-----------|-----------------|------------------|----------|

nonprovisional

YES NO

~~\$700~~ \$1,400

\$300

~~\$1000~~

09/15/2006

\$1,700

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

KASZTEJNA, MATTHEW JOHN

3739

600-143000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Lumen Intellectual  
 2. Property Services, Inc.  
 3. \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Board of Trustees of the  
 Leland Stanford Junior University Palo Alto, CA

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

☒ Issue Fee☒ Publication Fee (No small entity discount permitted)☒ Advance Order - # of Copies 4

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5. Change in Entity Status (from status indicated above)

☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

*Robert Lodenkamper*

Date

8/9/06

Typed or printed name

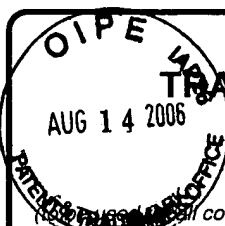
Robert Lodenkamper

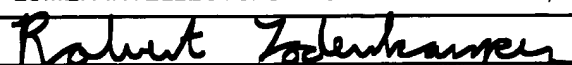
Registration No.

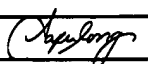
55,399

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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|   |                        |                         |
|---|------------------------|-------------------------|
|  <b>TRANSMITTAL FORM</b><br>(Do not use for correspondence after initial filing) | Application Number     | 10/667230               |
|   | Filing Date            | 9/18/2003               |
|   | First Named Inventor   | Byong-Ho Park           |
|   | Art Unit               | 3739                    |
|   | Examiner Name          | Kasztejna, Matthew John |
| Total Number of Pages in This Submission  | Attorney Docket Number | S02-093/US              |

| ENCLOSURES ( <i>Check all that apply</i> )  |  |   |  |
|---|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input checked="" type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/Declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Doc(s)<br><input type="checkbox"/> Reply to Missing Parts/<br>Incomplete Application<br><input type="checkbox"/> Reply to Missing Parts<br>under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawings<br><input type="checkbox"/> Licensing-related papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a<br>Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Corresp. Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____<br><input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Comm. to TC<br><input type="checkbox"/> Appeal Comm. to Board of<br>Appeals and Interferences<br><input type="checkbox"/> Appeal Comm. to TC<br><i>(Appeal Notice, Brief, Reply Brief)</i><br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other ( <i>Specified below</i> ) | Other:<br>Issue Fee _____<br>_____<br>_____<br>_____ |
| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT  |  |   |  |
| FIRM NAME   | LUMEN INTELLECTUAL PROPERTY SERVICES, Inc.   |   |  |
| SIGNATURE   |   |   |  |
| PRINTED NAME  | Robert Lodenkamper   |   |  |
| DATE  | 8/9/06   | REGISTRATION NUMBER   | 55,399   |

| CERTIFICATE OF TRANSMISSION/MAILING  |   |
|--|---|
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| SIGNATURE  |  |
| PRINTED NAME   | Abigail Capulong  |
| DATE   | 8/9/06  |

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